

RTO Division of Functional Responsibilities

Please use this matrix to inform the Commission if the Characteristics, Functions and subfunctions below should vest solely with the RTO; or can they be shared with an Independent Transmission Company (ITC) and/or be shared with another type of entity, such as investor owned integrated utility (IOU TO), public power integrated utility (PPTO), cooperative (Coop TO) or an independent third party (such as IMA, ISA, contractor, etc.). Type of entities in Column (3) should be identified.

	(1) RTO ONLY	(2) SHARE WITH ITC	(3) OTHER	RELEVANT CONSIDERATIONS
Independence				
Scope and Regional Configuration				
Operational Authority				
Serves as NERC Reliability Authority				
Makes Transmission Control Decisions				
Physically Operates System				
Implements Transaction Curtailments (e.g., TLR)				
Performs System Impact Studies				
Establishes Equipment Ratings				
Other:				
Short-Term Reliability				
Implements Interchange Schedules				
Establishes Operating Procedures				
Redispatches Generation for Emergencies				
Approves Transmission Maintenance Schedules				
Coordinates Transmission and Generation Outages				
Establishes Emergency Plan				
Other:				
Tariff Administration and Design				
Administers Own Tariff				
Proposes and Submits Rate Filings and Rate Design				
Processes Interconnection Requests				
Performs Billing and Collection				
Other:				

	(1) RTO ONLY	(2) SHARE WITH ITC	(3) OTHER	RELEVANT CONSIDERATIONS
Congestion Management				
Operates Congestion Management System				
Other:				
Parallel Path Flows				
Addresses Impacts of Parallel Path Flows				
Other:				
Ancillary Services				
Specifies Ancillary Service Requirements				
Redispatches Generation for Balancing				
Procures and Deploys:				
-Reactive/Voltage Control				
-Regulation and Frequency Response				
-Energy Imbalance				
-Operating Reserve-Spinning and Supplemental				
Other:				
OASIS, TTC and ATC				
Maintains and Controls OASIS Site				
Provides Inputs for TTC and ATC				
Calculates TTC and ATC				
Other:				
Market Monitoring				
Establishes Market Monitoring Procedures				
Planning and Expansion				
Develops Local Plan				
Coordinates Regional Plan				
Implements Expansion				
Other:				
Interregional Coordination				
Coordinates with Other Regions				
Market Functions				
Operates Day Ahead/Hour Ahead Energy Market				

	(1) RTO ONLY	(2) SHARE WITH ITC	(3) OTHER	RELEVANT CONSIDERATIONS
Ensures Generation Adequacy				
Operates Energy Imbalance Market				
Other:				
Miscellaneous				
Provides Dispute Resolution				
Other:				